



FORM 1 (aa)
[Under rule 5 (6) and 13 (1)(ii)]

EXTENDED PRODUCER RESPONSIBILITY- AUTHORISATION

[Extended Producer Responsibility Authorisation for Producer of the Electrical & Electronic Equipment]

Ref: Your application for Grant of Extended Producer Responsibility - Authorisation for following Electrical & Electronic Equipment under E-Waste (Management) Rules, 2016.

I. User Terminals and Systems (ITEW9);

- 1. EPR Authorisation Number: B- 29016(1362)/(EPR)/18/WM-III Division Date: 28.12.2018**
- 2. M/s. Pine Tree Capital Markets Pvt. Ltd., 104, Raheja Centre, 2014, Free press Journal Marg Nariman Point, Mumbai-400021 hereby granted Extended Producer Responsibility - Authorisation based on:**

- (a) Extended Producer Responsibility plan and;**
- (b) Proposed target for collection of E-waste submitted by them.**

M/s. Pine Tree Capital Markets Pvt. Ltd., shall meet the yearly collection target for e-waste as per the table given below:

Year	Collection Target of EEE in Weight (MT)
	ITEW9
2018-19	NIL
2019-20	NIL
2020-21	*
2021-22	*
2022-23	*

*Collection target will be fixed after submission of sales data

- 3. The Authorisation shall be valid for a period of five (5) years from date of issue with following conditions:**
 - You shall strictly follow the approved Extended Producer Responsibility plan, a copy of which is enclosed herewith as Enclosure-I;
 - You shall ensure that collection mechanism or collection Centres are set up or designated as per the details given in the Extended Producer Responsibility plan and that shall be completed before the proposed dates if any in the EPR Plan (list of collection Centres and the toll free numbers for reverse logistics enclosed);

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- iii. You shall ensure that all the collected e-waste is channelized to your authorised PRO/dismantler/recycler M/s. Auctus E-Recycling Solutions Pvt. Ltd., F-637, Masuri-Gulwathi Road, Industrial Area, Ghaziabad, U.P and records shall be maintained both at recyclers end as well as at your end;
- iv. You shall maintain records, in Form-2 of these Rules, of e-waste and make such records available for scrutiny by Central Pollution Control Board as and when directed;
- v. You shall file annual returns in Form-3 to the Central Pollution Control Board on or before 30th day of June following the financial year to which that returns relates;
- vi. You shall submit annual sales data along with your annual returns.

vii. General Terms & Conditions of the Authorisation:

- a. The authorisation shall comply with provisions of the Environment (Protection) Act, 1986 and the E-waste (Management) Rules, 2016 made there under;
- b. The authorisation or its renewal shall be produced for inspection at the request of an officer authorised by the Central Pollution Control Board;
- c. Any change in the approved Extended Producer Responsibility plan should be informed to Central Pollution Control Board within 15 days on which decision shall be communicated by Central Pollution Control Board within sixty days;
- d. It is the duty of the authorised person to take prior permission of the Central Pollution Control Board to close down any collection centre/points or any other facility which are part of the EPR plan.
- e. An application for the renewal of authorisation shall be made as laid down in sub-rule (vi) of rule of 13(1) the E- Waste (Management) Rules, 2016;
- f. The Central Board reserves right to cancel/amend/revoke the authorisation at any time as per the policy of the Central Board or as notified by the Government from time to time.

viii. Additional Conditions: -

- a) That the applicant will submit revised application for grant of EPR Authorisation in case of applicant engaging any other PRO or changing its EPR Plan;
- b) That the applicant has to modify its website by including content on its collection schemes of e-waste, details of collection points, toll free number for collection and channelization and the link should be on the home page at prominent place and inform CPCB within three (03) weeks of receiving this EPR Authorisation;

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- c) That the applicant has to modify agreement with his recycler/dismantler by adding clauses that his recycler/dismantler has the capabilities to handle, dismantle and recycle the EEE item code ITEW9 including its components, consumables, parts and spares and submit it within three (03) weeks of receiving of this EPR Authorisation to CPCB;
- d) That the applicant has to ensure that its dismantler/recycler modifies agreement with its reverse logistics partner by including clause on sharing of warehouse as a collection points and safe and environmentally sound transport of e-waste from across the country to its authorised dismantler/recycler and inform CPCB within three (03) weeks of receiving this EPR Authorization;
- e) That the applicant has to ensure that the e-waste collected at collection centres/points or through Buy-back and DRS mentioned in the application should reach to its authorized dismantler/recycler;
- f) That the applicant has to submit to CPCB every quarter the amount of E-waste (in weight) of EEE code ITEW9 collected in that quarter and recycled;
- g) That the applicant has to submit Enclosure - A of the self-declaration whenever it launches any new model of its product;
- h) That the applicant has to provide RoHS information on the booklet and brochures of their Products;
- i) That the applicant has to ensure that the Bills/Invoices of sale of EEE have to contain information on toll free number, web site link for collection, channelization of e-waste;
- j) That the applicant has to ensure that its PRO named M/s. Auctus E-Recycling Solutions Pvt. Ltd carry out all the activities as agreed upon between the applicant and the PRO for implementing the EPR Plan;
- k) That the applicant has to maintain all the technical documentation on RoHS for verification by CPCB/SPCB whenever required;
- l) In case of any violation of the above condition, your authorization will be suspended/ cancelled.
- m) Time lines mentioned above has to be strictly met, failing which authorisation shall automatically stand withdrawn.

To,
M/s. Pine Tree Capital Markets Pvt. Ltd.,
104, Raheja Centre, 2014, Free Press
Journal Marg Nariman Point, Mumbai-400021

Anand Kumar
28/12/18
Authorized signatory
(With designation)

Anand Kumar
Additional Director &
Divisional Head, WM-III Division
Central Pollution Control Board
M/o Env., Forest & Climate Change, Govt. of India
Parivesh Bhawan, East Arjun Nagar
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